

REGIONAL GOVERNING BOARD

MINUTES

September 11, 2017
1645 N Street
Lincoln, NE
10:30 a.m.

MEMBERS PRESENT: Greg Janak, Butler County; Susan Johnson, Fillmore County; Gale Pohlmann, Jefferson County; Les Agena, Johnson County; Todd Wiltgen, Lancaster County; Marvin Bohling, Nemaha County; Dean Speth, Otoe County; Jan Lang, Pawnee County; Jim Davidson, Richardson County; Janet Henning, Saline County; Roger Glawatz, Seward County; Dean Krueger, Thayer County; Bill Bamesberger, York County

MEMBERS ABSENT: Dennis Byars, Gage County; Jerry Westring, Polk County; Doris Karloff, Saunders County

OTHERS PRESENT: Tamara Gavin, Sue Adams, Division of Behavioral Health; C.J. Johnson, Tami DeShon, Patrick Kreifels, Kim Michael, Sandy Morrissey, Amanda Tyerman-Harper, Paul Van De Water, and Marti Rabe, Region V Systems

CALL TO ORDER / ROLL CALL / AGENDA

The meeting was called to order by Vice Chair, Dean Krueger, at 10:39 a.m., followed by roll call.

Roll Call: Present: Butler County, Fillmore County, Jefferson County, Johnson County, Lancaster County, Nemaha County, Otoe County, Pawnee County, Richardson County, Saline County, Seward County, Thayer County, York County

Absent: Gage County, Polk County, Saunders County

Bamesberger made a motion, seconded by Henning, to approve the agenda as presented. There was no discussion.

Roll Call: Yes: Butler County, Fillmore County, Jefferson County, Johnson County, Lancaster County, Nemaha County, Otoe County, Pawnee County, Richardson County, Saline County, Seward County, Thayer County, York County

Absent: Gage County, Polk County, Saunders County

Motion carried.

OPEN MEETINGS ACT INFORMATION

Krueger noted that Open Meetings Act information was posted in the meeting room. Notification of this meeting and information regarding availability of the agenda was provided through a legal notice in the Lincoln Journal Star.

PUBLIC COMMENT

There was no public comment.

DIVISION OF BEHAVIORAL HEALTH (DBH) – TAMARA GAVIN

Tamara Gavin and Sue Adams, with the Division of Behavioral Health (DBH), were in attendance to present DBH's annual report to the Region. A handout was provided which included an organizational chart for DBH.

- The goals for the 2017-2020 Strategic Plan are focused on enhancing the behavioral health system, delivering quality and effective services and ensuring that service delivery is culturally responsible.
- The Governor's priorities, which are: 1) efficiency and effectiveness, 2) customer service 3) growth 4) public safety, and 5) reduce regulatory burden were noted. For each priority a number of measures / action steps were highlighted. An action step for Priority 3 seeks to decrease the average nurse vacancy rate at Lincoln Regional Center (LRC).
- Data was presented in a series of dashboard graphs and included Emergency Protective Custody, Mental Health Board Commitments, customer satisfaction, consumers in stable living circumstances, nurse vacancy rates at LRC and Norfolk Regional Center (NRC) etc.
- Gavin noted that staffing challenges regarding registered nurses have led to inability to use the full capacity at state regional centers. As that statistic improves, there has been discussion regarding opening another unit at LRC and creating a unit at NRC for consumers with co-occurring complex medical needs. These initiatives would enhance public safety by decreasing the number of days waiting for inpatient treatment. Johnson commented that a similar situation caused by workforce / staffing challenges had a direct impact on Region V last year as providers of medication management, short-term and intermediate residential services were unable to use their full capacity as prescribers were unavailable and required staffing ratios could not be met.
- The Division is exploring a Medicaid benefit plan which may bring more Federal dollars into the State as well as Legislation that would decrease the number of court ordered outpatient competency hearings which take place at LRC.
- One Time Only funds, the Centralized Data System and the Electronic Billing System indicators were briefly noted with the presentation concluding with Regional strengths and opportunities.
- Johnson thanked DHHS for the presentation and commented that staff at the Division has provided a pleasant working environment including good team efforts and collaboration.

ACTION / PRIORITY ITEMS

Regional Governing Board Minutes: September 11, 2017: Glawatz made a motion, seconded by Pohlman, to approve the June 12, 2017, minutes as presented. There was no further discussion. No action was required regarding the Executive Committee minutes of June 5, 2017.

Roll Call: Yes: Butler County, Fillmore County, Jefferson County, Lancaster County, Nemaha County, Otoe County, Pawnee County, Richardson County, Saline County, Seward County, Thayer County, York County

Abstain: Johnson County

Absent: Gage County, Polk County, Saunders County

Motion carried.

Financial Report: Van De Water presented the financial report through July (handout) reviewing revenue and expenditures. With 8.33 percent of the current fiscal year expended, the Regional budget stood at 7.34 percent. Van De Water pointed out that a number of expenditures in July were for annual expenses such as subscriptions, dues, and annual memberships. The Regions' annual CPA audit is currently being conducted and results will be presented at the November meeting. Unofficial figures show the Region finishing FY 16-17 approximately \$200,000 under budget. Janak made a motion, seconded by Agena, to approve the Financial Report as presented

Roll Call: Yes: Butler County, Fillmore County, Jefferson County, Johnson County, Lancaster County, Nemaha County, Otoe County, Pawnee County, Richardson County, Saline County, Seward County, Thayer County, York County

Absent: Gage County, Polk County, Saunders County

Motion carried.

Contractual Agreements for Region V: Johnson presented contractual agreements in the following categories, noting that many of these contracts are for Federal funding; the Federal contract year begins October 1:

Projects for Assistance of Transition from Homelessness (PATH):

- DHHS Division of Behavioral Health (DBH) contracts with the Region to provide funding (\$65,000) to provide PATH services.
- The Region subcontracts with CenterPointe for provision of such activities as homeless outreach and other homeless services. CenterPointe is required to match these grant dollars.

Prevention:

- DHHS DBH contracts with Region V to implement the SAMHSA Youth Suicide Prevention Grant (\$63,000).
- DHHS SAMHSA contracts with the Region to address underage drinking and marijuana use among persons in Nemaha County (\$125,000); \$116,250 is passed through to Nemaha Advocates Alcohol and Drug Awareness (NAADA) Prevention Coalition.
- DHHS DBH contracts with the Region to fund community-based prevention programs that address underage drinking in Lancaster County (\$273,743); \$260,058 is passed through to the Lancaster Prevention Coalition to address underage drinking in Lancaster County. Nemaha and Lancaster Counties were identified as Nebraska counties most at risk for underage drinking.

Housing:

- DHHS DBH contracts with Region V to expand / enhance behavioral health treatment for individuals who experience chronic homelessness and have substance use disorder (\$655,519).
- Region V contracts with CenterPointe (\$286,628), Mental Health Association (\$102,997) and the UNL Public Policy Center (\$128,338) to provide various aspects of this grant with the Policy Center providing evaluation services for the grant project.

Family and Youth Investment (FYI):

- Nebraska Children and Families Foundation contracts with Region V to provide wraparound supports and connect families to existing community-based services to maintain children within the home and stabilize families (\$383,359).
- DBH contracts with Region V to implement, train, and evaluate the Nebraska System of Care Expansion and Sustainability grant project (\$365,000).

Cluster-based Planning:

- This contract with Synthesis (up to \$33,565) is for the provision of cluster assessment training, training of trainers, technology sharing, outcomes data reporting, and analyses of the cluster-based planning project.

Wiltgen made a motion, seconded by Pohlmann, to approve all the contracts in the above categories as presented.

Roll Call: Yes: Butler County, Fillmore County, Jefferson County, Johnson County, Lancaster County, Nemaha County, Otoe County, Pawnee County, Richardson County, Saline County, Seward County, Thayer County, York County

Absent: Gage County, Polk County, Saunders County

Motion carried.

One-Time-Only (OTO) Funding Expenditures: Johnson provided a brief history of OTO funds which are carry-over dollars from LB 1083 (Behavioral Health Reform). Following disagreement with the Division regarding the amount of carry-over dollars available (3.1 million vs 15.2 million), Senator Jensen introduced legislation in 2008 which required the Division to allocate that money to the Behavioral Health Regions. Region V received approximately 4.2 million dollars at that time. Current remaining balance is \$676,931. Dollars are designated to the Consumer and Family Coalition, the Centralized Data System (CDS), behavioral health

integration, cluster based training, and the UNL Public Policy Center. J. Bamesberger made a motion, seconded by Lang, to approve the OTO Funding Report as presented.

Roll Call: Yes: Butler County, Fillmore County, Jefferson County, Johnson County, Lancaster County, Nemaha County, Otoe County, Pawnee County, Richardson County, Saline County, Seward County, Thayer County, York County

Absent: Gage County, Polk County, Saunders County

Motion carried.

Draft FY 17-18 Risk Management Plan: Michael presented the CARF driven FY 17-18 Risk Management Plan (draft) noting that 4th quarter data was not available at the time of this meeting. The planning process involves determining possible areas / occurrences where the Region may be at risk of incurring loss or suffering a consequence, assigns a probability rating score to that risk, and determines action steps to reduce or eliminate risk exposure. Michael reviewed each area where a new action step had been implemented as well as noting each incidence where the probability score was changed and why the change occurred. Henning made a motion, seconded by Johnson, to approve the draft FY 17-18 Risk Management Plan as presented.

Roll Call: Yes: Butler County, Fillmore County, Jefferson County, Johnson County, Lancaster County, Nemaha County, Otoe County, Pawnee County, Richardson County, Saline County, Seward County, Thayer County, York County

Absent: Gage County, Polk County, Saunders County

Motion carried.

Alternative Compliance – Community Support: Johnson explained that several years ago Medicaid began to allow providers to bill for community support in 15-minute increments. Per the service definition for DBH, Region V providers serving NBHS clients were only able to bill for a unit of service if the requirement for 3 total hours or three contacts per month was met. The Division has provided a solution via Alternative Compliance to allow providers to bill in 15-minute increments. Letters to Blue Valley Behavioral Health, CenterPointe, Lutheran Family Services and St. Monica's were included in the packet indicating the Division's agreement with Alternative Compliance for the current fiscal year. Bohling made a motion, seconded by Pohlmann, to ratify these provider requests and allow Alternative Compliance billing for Community Support for FY 17-18.

Roll Call: Yes: Butler County, Fillmore County, Jefferson County, Johnson County, Lancaster County, Nemaha County, Otoe County, Pawnee County, Richardson County, Saline County, Seward County, Thayer County, York County

Absent: Gage County, Polk County, Saunders County

Motion carried.

DBH Professional Partner (PP) Program – Unit Verification Audit: Johnson presented the DHHS services purchased unit verification audit noting that unit verification was conducted for the traditional PP track, transition age youth (TAPP), and the program developed as a result of the Legislature addressing the fallout from the Safe Haven Act (LB 603). Overall a 97 percent compliance rate was achieved. A program fidelity review was conducted as part of the audit. Findings, conclusions, and recommendations were noted and recommendations are being addressed. Agena made a motion, seconded by Glawatz, to approve the Unit Verification Audit and Program Fidelity Review as presented.

Roll Call: Yes: Butler County, Fillmore County, Jefferson County, Johnson County, Lancaster County, Nemaha County, Otoe County, Pawnee County, Richardson County, Saline County, Seward County, Thayer County, York County

Absent: Gage County, Polk County, Saunders County

Motion carried.

Site Visit Report Summaries: Johnson presented site visit reports for Associates in Counseling and Treatment, Lutheran Family Services (LFS), Mental Health Association and Telecare. All agencies scored 100 percent for their respective unit audits. Johnson made a motion, seconded by Bohling, to approve the report summaries as presented.

Roll Call: Yes: Butler County, Fillmore County, Jefferson County, Johnson County, Lancaster County, Nemaha County, Otoe County, Pawnee County, Richardson County, Saline County, Seward County, Thayer County, York County

Absent: Gage County, Polk County, Saunders County

Motion carried.

BHAC Membership Recommendations: Johnson discussed two BHAC applicants who have recently submitted applications to serve on the Committee. The Nominating Subcommittee has recommended both of these individuals: Melissa Ripley, an officer with Lincoln Police Department and significant involvement with the Mental Health Association, and Tracy Pella, a former Professional Partner at Region V who is now a school psychologist at Palmyra / Bennet Schools. Wiltgen made a motion, seconded by Speth, to approve Ripley and Pella as members of the BHAC.

Roll Call: Yes: Butler County, Fillmore County, Jefferson County, Johnson County, Lancaster County, Nemaha County, Otoe County, Pawnee County, Richardson County, Saline County, Seward County, Thayer County, York County

Absent: Gage County, Polk County, Saunders County

Motion carried.

OTHER UPDATES / LEGISLATION / INFORMATIONAL ITEMS

Behavioral Health / Legislation Updates: No further discussion.

Emergency System Year-end Data: The Division's presentation included a significant amount of emergency system data, so further discussion was not needed. Johnson did note that the number of EPCs was up from 595 in 2016 to 656 in 2017. Information regarding EPCs and post-commitment days for individual counties was distributed.

System of Care (SOC) Grant - DHHS: Johnson commented that over 30 calls have come in to the Crisis Response Teams following implementation of the SOC grant in April, designed to respond to youth and their families.

Regional Quality Improvement Team (RQIT): Kreifels presented the following information:

Discharge / Non-Compliance Report: This report monitors providers who use the data system to ensure clients are discharged appropriately. The non-compliance rate has steadily declined since monitoring began.

17-18 Discharge / Non-Compliance Thresholds: Following provider input, thresholds for discharge compliance were changed for Community Support (MH and SUD), Supported Employment and Medication Management.

COMPASS-EZ Aggregate Report: The COMPASS-EZ self-assessment is completed every two years. Even though aggregate scores for Region V are somewhat lower than scores from FY 14-15, Region V scored higher than the statewide average. Johnson commented that lower scores may indicate that providers are more experienced in using the assessment and are better able to note areas for improvement.

Trauma Informed Care (TIC) Aggregate Report: This report monitors whether or not providers are delivering services in a trauma-informed manner. The TIC aggregate results are similar to COMPASS-EZ data in that there was a slight decline overall, but scores were above the statewide average. Following the COMPASS-EZ and TIC assessments, providers are asked to pick several areas where scores were lower and focus on efforts to improve those scores.

Network Performance Improvement Summary: The purpose of this summary is to review a concern and seek ways to improve performance in that particular area. Two issues, one involving discharge non-compliance, and the other involving meeting attendance, have been addressed and resolution has been reached.

Quarter 1-3 Consumer Outcomes Presentation:

- Kreifels presented data that has been collected in an effort to determine what progress consumers are making, determine what indicators should be measured, what trends might be noted, and engage with providers to determine efficiencies in treatment.
- In order to demonstrate progress i.e. outcomes, the network chose four indicators. The outcomes are: 1) meaningful and reliable change, 2) meaningful change in “severe impairment,” 3) meaningful change in “areas of concern” and 4) improvement on one or more of the previous three indicators. Outcome number four is an unduplicated count. Intake and discharge scores are used to measure progress.
- Associates in Counseling and Treatment, which contracts with Region V for evaluations only, is tracking referrals of individuals accepted for treatment and who were accepted and / or admitted or not admitted to determine if the evaluation recommendations were validated by acceptance into treatment.
- Region V will be contacting providers to determine when an annual review of outcomes can take place with each agency later this fall.

FY 17-18 Capacity Utilization Summary Reports: Capacity utilization summaries through July are presented for informational purposes and are used to monitor how contract dollars are being pulled down in order to maximize funding.

Training:

Region V Training Plan: Provided for informational purposes.

National Association of Case Management Conference (NACM): The brochure for the National Association of Case Management Conference (NACM), to be held in Omaha October 24-26 was attached. Region V is co-sponsoring the conference with MHA of NE. Region V providers, etc. are able to allow members are eligible for a 20 percent discount.

OTHER BUSINESS

There was no other business.

ADJOURN

Lang made a motion, seconded by Bamesberger, to adjourn the meeting at 12:12 p.m. There was no dissent.

IMPORTANT DATES

- September 18 – Network Provider Meeting – 9:00 a.m.
- November 1 – BHAC Meeting – 10:00 a.m.
- November 13 – RGB Meeting – 10:30 a.m.