

# REGIONAL GOVERNING BOARD

## MINUTES

November 5, 2018  
1645 'N' Street  
Lincoln, NE  
10:30 a.m.

**MEMBERS PRESENT:** Susan Johnson, Fillmore County; Dennis Byars, Gage County; Gale Pohlmann, Jefferson County; Les Agena, Johnson County; Todd Wiltgen, Lancaster County; Marvin Bohling, Nemaha County; Dean Speth, Otoe County; Jan Lang, Pawnee County; Jerry Westring, Polk County; Jim Davidson, Richardson County; Doris Karloff, Saunders County; Roger Glawatz, Seward County; Dean Krueger, Thayer County; Bill Bamesberger, York County

**MEMBERS ABSENT:** Greg Janak, Butler County; Janet Henning, Saline County

**OTHERS PRESENT:** Jeff DeLine, Dana Cole & Company; Ed Packard, UNICO; C.J. Johnson, Renee' Dozier, Tami DeShon, Patrick Kreifels, Kim Michael, Sandy Morrissey, Amanda Tyerman-Harper, Paul Van De Water, and Marti Rabe, Region V Systems

### OPEN MEETINGS ACT INFORMATION

Byars noted the Open Meetings Act information is posted in the meeting room. Notification of this meeting and information regarding availability of the agenda was provided through a legal notice in the Lincoln Journal Star.

### CALL TO ORDER / ROLL CALL / AGENDA

The meeting was called to order by Byars at 10:33 a.m., followed by roll call.

Roll Call: Present: Fillmore County, Gage County, Jefferson County, Johnson County, Lancaster County, Nemaha County, Otoe County, Pawnee County, Polk County, Richardson County, Saunders County, Seward County, Thayer County, York County

Absent: Butler County, Saline County

Johnson requested that "Emergency System Presentation" be moved to "Other Updates / Informational Items" and that the "Organizational Liability Annual Insurance Review" and the "FY 17-18 Financial Audit" be reversed in order of presentation. Bohling made a motion, seconded by Johnson, to approve the revised agenda.

Roll Call: Yes: Fillmore County, Gage County, Jefferson County, Johnson County, Lancaster County, Nemaha County, Otoe County, Pawnee County, Polk County, Richardson County, Saunders County, Seward County, Thayer County, York County

Absent: Butler County, Saline County

Motion carried.

### PUBLIC COMMENT

There was no public comment. Johnson shared that Van De Water had officially submitted a letter of resignation due to his anticipated retirement at the end of the current fiscal year. The Region will be looking at a succession plan to address that vacancy.

### ACTION / PRIORITY ITEMS

**Regional Governing Board Minutes:** Bamesberger made a motion, seconded by Pohlmann, to approve the Minutes of the September 10, 2018, meeting. There was no discussion. No action was required regarding the Executive Committee minutes of the same date.

Roll Call: Yes: Fillmore County, Jefferson County, Lancaster County, Nemaha County, Otoe County, Pawnee County, Richardson County, Saunders County, Seward County, Thayer County, York County

Abstain: Gage County, Johnson County, Polk County

Absent: Butler County, Saline County

Motion carried.

**Draft FY 17-18 Financial Audit – Dana Cole:** Dana Cole was not been able to complete the final review of the audit; therefore, a preliminary draft is being presented to the Board. A copy of the preliminary audit report and a summary of the financial statement review were available to Board members Monday morning. Jeff DeLine, Dana Cole & Company, presented findings from the preliminary FY 17-18 audit. An unmodified opinion, indicating no changes are needed, was noted in the independent auditor's report. Statement of Net Position indicates that total assets decreased by \$431,155 and total liabilities decreased by \$60,674, for a total net decrease of assets \$370,481. This year auditors focused on Network Management and Prevention activities and no significant deficiencies or material weaknesses were found; internal controls were found to be more than adequate. Johnson made a motion, seconded by Agena, to approve the preliminary FY 17-18 Financial Audit with the caveat that the audit will be brought back to the February meeting if any changes are made in the final review.

Roll Call: Yes: Fillmore County, Gage County, Jefferson County, Johnson County, Lancaster County, Nemaha County, Otoe County, Pawnee County, Polk County, Richardson County, Saunders County, Seward County, Thayer County, York County

Absent: Butler County, Saline County

Motion carried.

**Organizational Liability Annual Insurance Review:** Ed Packard, UNICO, presented Region V's array of insurance coverage focusing on a premium summary comparison between FY 17-18 and FY 18-19 and discussed rationale for any significant change. Overall there was a net increase of \$2,333 with the most significant increase being related to Cyber insurance due to a state-mandated increase in coverage. A significant decrease was noted for Crime Liability due to changing carriers. Davidson made a motion, seconded by Pohlman, to approve and place on file the Organizational Liability Annual Insurance Review and Region V's coverage as presented.

Roll Call: Yes: Fillmore County, Gage County, Jefferson County, Johnson County, Lancaster County, Nemaha County, Otoe County, Pawnee County, Polk County, Richardson County, Saunders County, Seward County, Thayer County, York County

Absent: Butler County, Saline County

Motion carried.

**Fiscal/Financial Report:** Copies of the report were available Monday morning. Van De Water presented the financial report through September reviewing revenue and expenditures and providing rationale for areas where spending appeared to be in excess of expectations. In most cases the expenditures that are over-budget are one-time expenses. With 25 percent of the fiscal year completed, the Regional budget stood at 22.52 percent, 2.5 percent under budget. Karloff made a motion, seconded by Agena, to approve the Financial Report as presented.

Roll Call: Yes: Fillmore County, Gage County, Jefferson County, Johnson County, Lancaster County, Nemaha County, Otoe County, Pawnee County, Polk County, Richardson County, Saunders County, Seward County, Thayer County, York County

Absent: Butler County, Saline County

Motion carried.

**Network Provider Contract Amendments:**

October Contract Shifts: Eligible shift opportunities directed by the Division do not align with the current meeting schedule. Johnson reminded Board members that the Board had approved a motion at the September meeting to pre-approve any network provider-requested or Region-recommended contract shifts that were due in October. Johnson reviewed each shift and provided rationale for the activities listed. Blue Valley had requested a number of shifts in the amount of \$123,043 from FFS to NFFS based on historical usage for Capacity Access Guarantee (CAG) dollars. TASC shifts were related to the fact that flex funds were no longer allowed to be embedded in the service and those dollars were moved into the Emergency Flex Fund pool. \$99,000 will be shifted from Social Detox at Bridge Behavioral Health (BBH) to acute inpatient. Those dollars had been moved to Social Detox as a placeholder in the event BHH chose to accredit the Civil Protective Custody (CPC) program. Wiltgen commented that law enforcement intends to use social detox more frequently now instead of CPC, which will increase costs for social detox. \$73,000 was shifted from Plans for One to Mental Health Association (MHA) because the State has allowed the Region to pay for all five Milestones for this fiscal year; this change is due to lack of funding for Vocational Rehabilitation. The Emergency Community Support (ERCS) position with the Harvest Project (serving individuals over 55) is now affiliated with TASC. Several Region V shifts were also made. Bohling made a motion, seconded by Bamesberger, to ratify the October Contract Shifts (totaling \$419,677), as presented and provide the Region with authority to make any network provider requested or Region recommended contract shifts in January after discussion and / or notification to the provider and BHAC, as approved by Region V administration.

Roll Call:        Yes:        Fillmore County, Gage County, Jefferson County, Johnson County, Lancaster County, Nemaha County, Otoe County, Pawnee County, Polk County, Richardson County, Saunders County, Seward County, Thayer County, York County

Absent:    Butler County, Saline County

Motion carried.

System of Care / Youth Mobile Crisis: A pool totaling \$80,000 will be available to CenterPointe and Houses of Hope to provide crisis response for youth. Lang made a motion, seconded by Karloff, to accept these contract amendments with CenterPointe and Houses of Hope.

Roll Call:        Yes:        Fillmore County, Gage County, Jefferson County, Johnson County, Lancaster County, Nemaha County, Otoe County, Pawnee County, Polk County, Richardson County, Saunders County, Seward County, Thayer County, York County

Absent:    Butler County, Saline County

Motion carried.

Opioid Response / Medically Assisted Treatment (MAT): A pool of up to \$200,431 is available through a federal grant. Johnson made a motion, seconded by Davidson, to approve the contract amendments for Bridge Behavioral Health, CenterPointe, and Houses of Hope to provide funding for MAT including buprenorphine, prescriber time and associated labs.

Roll Call:        Yes:        Fillmore County, Gage County, Jefferson County, Johnson County, Lancaster County, Nemaha County, Otoe County, Pawnee County, Polk County, Richardson County, Saunders County, Seward County, Thayer County, York County

Absent:    Butler County, Saline County

Motion carried.

**Unexpended Revenue:** Johnson reported that unexpended revenue in the amount of \$114,797 was available after the close of the fiscal year. Overproduced fee-for-service (FFS) units came to a total of \$113,608.44. Johnson commented that the Region is concerned that funds may not continue to be available to purchase all over-produced units at year end as we have done in the past. Wiltgen made a motion, seconded by Bohling, to approve the allocation of unexpended revenue to the agencies noted.

Roll Call: Yes: Fillmore County, Gage County, Jefferson County, Johnson County, Lancaster County, Nemaha County, Otoe County, Pawnee County, Polk County, Richardson County, Saunders County, Seward County, Thayer County, York County

Absent: Butler County, Saline County

Motion carried.

**Community Support Flex / Emergency Flex Fund Policies:** Tyerman-Harper presented the revised Community Support Flexible Funding Policy and the new Emergency Flex Fund Policy. In an effort to reduce flex spending the Division no longer allows flex funds to be used for anyone who is Medicaid eligible, a limit on “continued use” was set at three months, and an annual cap was also defined. The Region’s flex policies mirror those required changes. Lang made a motion, seconded by Bohling, to approve the draft flex fund policies as presented.

Roll Call: Yes: Fillmore County, Gage County, Jefferson County, Johnson County, Lancaster County, Nemaha County, Otoe County, Pawnee County, Polk County, Richardson County, Saunders County, Seward County, Thayer County, York County

Absent: Butler County, Saline County

Motion carried.

**Prevention Mini-Grants:** Morrissey presented the BHAC recommendations for the 2018 Winter Mini-grant cycle, reviewing each request and providing rationale for requests that were denied. A total of \$16,039.75 was available, and \$12,413.85 was approved. Agena made a motion, seconded by Wiltgen, to approve the BHAC recommendations as presented.

Roll Call: Yes: Fillmore County, Gage County, Jefferson County, Johnson County, Lancaster County, Nemaha County, Otoe County, Pawnee County, Polk County, Richardson County, Saunders County, Seward County, Thayer County, York County

Absent: Butler County, Saline County

Motion carried.

**FY 17-18 Culturally and Linguistically Appropriate Services (CLAS) Coalition Funding Report:** Johnson presented the FY 17-18 CLAS Funding Report noting that a total of \$4,759.50 had been used for interpretation services. Expenditures from CLAS grants in FY 17-18 were in the amount of \$5,574.33. Karloff made a motion, seconded by Bohling, to approve the FY 17-18 Funding Report as presented.

Roll Call: Yes: Fillmore County, Gage County, Jefferson County, Johnson County, Lancaster County, Nemaha County, Otoe County, Pawnee County, Polk County, Richardson County, Saunders County, Seward County, Thayer County, York County

Absent: Butler County, Saline County

Motion carried.

**FY 18-19 CLAS Coalition Award Summary:** Johnson reviewed each applicant agency request for FY 18-19 for CLAS funding. A total of \$12,344 was requested and \$11,319 was awarded by the review committee. Lang made a motion, seconded by Bamesberger, to approve the FY 18-19 CLAS Coalition Award Summary CLAS Coalition recommendations as presented.

Roll Call: Yes: Fillmore County, Gage County, Jefferson County, Johnson County, Lancaster County, Nemaha County, Otoe County, Pawnee County, Polk County, Richardson County, Saunders County, Seward County, Thayer County, York County

Absent: Butler County, Saline County

Motion carried.

**Draft FY 18-19 Performance Improvement Plan (PIP):** Johnson presented the PIP, a CARF requirement, explaining that the Region has chosen these 30 indicators to monitor during the upcoming year. Indicators are in place for every department and program. The desired scope is designated and if the indicator involves risk exposure, that risk is noted. The expectation for each indicator is identified. Davidson made a motion, seconded by Pohlman, to approve the FY 18-19 Performance Improvement Plan (draft) as presented.

Roll Call: Yes: Fillmore County, Gage County, Jefferson County, Johnson County, Lancaster County, Nemaha County, Otoe County, Pawnee County, Polk County, Richardson County, Saunders County, Seward County, Thayer County, York County

Absent: Butler County, Saline County

Motion carried.

### **OTHER UPDATES / LEGISLATION / INFORMATIONAL ITEMS**

**Behavioral Health / Legislation Updates:** Johnson provided the following updates:

- Based on the results of cost model studies the Division has been conducting over the past three years, the Division intends to request an additional 2.8 million in funding to increase rates for providers to an appropriate level. NABHO would like to see similar rate increases for Medicaid, Juvenile Justice and Children and Family services, which would involve up to an additional ten million.
- In addition to rate increases the Division is requesting an additional 5.8 million to address other aspects of the system that are currently underfunded. One area the Division is looking at is funding to provide an additional 16 beds for secure residential services. At any given time, between 20 and 30 individuals are on the wait list for this level of care.

**Emergency System:** Kristin Nelson, Director of Region V's Emergency System, presented the following information:

- Mobile Crisis teams are called if an officer is unsure whether or not placing an individual in Emergency Protective Custody (EPC) is appropriate. In rural counties, TASC provides this response in all counties with the city of Lincoln excluded. CenterPointe provides crisis response in the city of Lincoln. If an individual is found to be both mentally ill and dangerous, the individual will be placed under Emergency Protective Custody (EPC) and taken to the Crisis Center.
- Nelson noted that TASC has a 91 percent diversion rate, which means that 91 percent of the time TASC is called, the consumer is not EPC'd greatly relieving stress on the ER system.
- Individuals who are EPC'd are likely to be male, Caucasian, between the ages of 18-29, considered to be dangerous to themselves, unemployed, dually diagnosed, and a resident of Lancaster County.
- In the past year nine percent of individuals who were EPC'd were committed inpatient; 13 percent were committed outpatient; 76 percent were discharged with a referral, and 9 individuals were given a 90-day suspension.

- BETA (Behavioral Health Threat Assessment) training is in its tenth year in Lancaster County and one-day mini-BETA trainings were provided for the first time in FY 17-18. The first ever Youth-BETA training is scheduled for December 13. Funding is also available to provide two mini-BETA's this fiscal year.

**Continuous Quality Improvement:** Kreifels presented the following data reports:

- FY 18-19 Network Performance Improvement Summary: Network performance is determined by measuring function at intake and again at discharge. Thresholds have been established. One Quality Improvement (QI) issue was reported and the provider developed a plan with the goal of improving services to affect positive change.
- FY 17-18 National Outcome Measures (NOMS): Outcomes are measured for employment / education; housing / living arrangement; criminal justice involvement; alcohol abstinence and drug abstinence. Data for FY 16-17 and FY 17-18 was provided and allows comparison to previous years, state-wide results, and also national data.
- FY 17-18 Complaints, Appeals, Critical Incidents: CARF requires data collection regarding these areas. All providers are required to have a process in place which allows consumers to report complaints. QI action steps have been taken by several agencies to reduce the number of complaints, appeals, and particularly critical incidents.
- FY 17-18 Perception of Care: Perception of care is based on consumers' answers to the following questions: 1) Would you return to this provider in the future? and 2) Would you recommend this service to a friend or family member? For both questions, 91 percent over all would return surpassing the threshold of 90 percent. For involuntary services, consumers were asked whether or not staff treated them with dignity and respect. Ninety percent of consumers surveyed indicated that they were treated with dignity and respect.
- FY 17-18 Ineligibles / Denials: Based on FY 17-18 data, the Region is talking with providers to determine why a consumer might be found to be ineligible for services. The highest number of ineligibles (with Region V funding) was found relating to short-term residential services and the most frequent reason is that the consumer does not meet clinical criteria. Hospital Diversion (KEYA House) was the provider with the most denials. In general, those denials were because consumers coming to KEYA are required to have a stable living situation to return to, and / or KEYA is often full (five beds available). Data is also being collected to determine what happens to consumers who are found ineligible or denied services.
- FY 17-18 Quality File Review: Providers conduct file reviews as part of their internal QI process as required by their accrediting body. For the five areas measured, all areas were found to be above the 80 percent threshold. Providers have instituted a number of QI activities to improve processes and outcomes though no corrective action was required.
- FY 17-18 Consumer Outcomes: The development of the Compass system has allowed the Region to aggregate results but also to see consumer outcomes specific to providers. The Region will be meeting with individual providers to discuss outcomes and, where indicated, discuss activities to improve outcomes and affect change for consumers.

**FY 18-19 Capacity Utilization Summary Report:** Provided for informational purposes.

**FY 18-19 Management Report:** Provided for informational purposes.

**Training Schedule:** Provided for informational purposes.

## **OTHER BUSINESS**

### **ADJOURN**

The meeting was adjourned at 12:20 p.m.

### **IMPORTANT DATES**

November 19 – Network Provider Meeting – 9:00 a.m.

January 30 – BHAC Meeting – 10:00 a.m.

February 11 – RGB Meeting – 10:30 a.m.